

FORM PTO-1390 (Rev. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		0103 Rec'd PCT/PTO 01 OCT 2004 Attorney's Docket Number JAB-1690	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. Application No. (if known, see 37 CFR 1.5) <b>10/510220</b>	
International Application No. PCT/EP03/03245		International Filing Date 27 March 2003		Priority Date Claimed 02 April 2002	
Title of Invention SUBSTITUTED AMINO ISOXAZOLINE DERIVATIVES AND THEIR USE AS ANTI-DEPRESSANTS					
Applicant(s) for DO/EO/US Jose Ignacio Andres-Gil, Manuel Jesus Alcázar-Vaca, Margaretha Henrica Maria Bakker, Ana Isabel De Lucas Olivares					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau), as well as the Published Application No. WO 03/082878 A1
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration and power of attorney of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mail Certificate, Information Disclosure Statement, Form PTO-1449, Form 609D

U.S. Application No. <b>10/510220</b> (37 CFR 1.53) International Application No. PCT/EP03/03245				Attorney's Docket Number JAB-1690	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <b>\$1110.00</b>					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ <b>950.00</b>					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO <b>\$ 790.00</b>					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <b>\$ 750.00</b>					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) <b>\$ 100.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$ 1110.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate	\$	
Total Claims	14 - 20 =	0	x \$ 18.00	\$ 0	
Independent Claims	2 - 3 =	0	x \$ 88.00	\$ 0	
Multiple Dependent Claim(s) (if applicable)			+ \$300.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1110.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2				+	
<b>SUBTOTAL =</b>				<b>\$1110.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1110.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$920.00</b>	
				Amount to be refunded: \$	
				charged: <b>\$1110.00</b>	

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 10-0750/JAB-1690/HBW in the amount of \$1110.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0750/JAB-1690/HBW. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
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Hal Bunt Woodrow  
Signature

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Name

32,501  
Registration Number



10/03/04

10/510220  
DT03 Rec'd PCT/PTO 01 OCT 2004

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DOCKET NO. JAB-1690

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jose Ignacio Andres-Gil et al.

For : SUBSTITUTED AMINO ISOXAZOLINE DERIVATIVES AND  
THEIR USE AS ANTI-DEPRESSANTS

Express Mail Certificate

"Express Mail" mailing number: EV 488942890 US

Date of Deposit: October 1, 2004

I hereby certify that this complete application (65 pp.  
including claims and abstract), published PCT Appln. No.

WO 03/082878 A1, Preliminary Amendment (13 pp.), Declaration and  
Power of Attorney (8 pp.), and Form 1390 (2 pp.), is being  
deposited with the United States Postal Service "Express Mail  
Post Office to Addressee" service under 37 CFR 1.10 on the date  
indicated above and is addressed to Mail Stop PCT, Commissioner  
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Laurie Philips

(Typed or printed name of person mailing paper or fee)

Laurie Philips

(Signature of person mailing paper or fee)